PTO/SB/08a (05-07)
Approved for use through 11/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10814202	
	Filing Date		2004-03-31	
INFORMATION DISCLOSURE	First Named Inventor Danie		ell, Todd W.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2162	
(Not for Submission under or of K 1.00)	Examiner Name	Truon	ng, Cam Y T	
	Attorney Docket Number	er	190206-1805	

				U.S.I	PATENTS	Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5734901		1998-03-31	Sidhu, et al.	
	2	5917489		1999-06-12	Thurlow, et al.	
	3	6057841		2000-05-02	Thurlow, et al.	
	4	6185568		2001-02-06	Douceur, et al.	
	5	6463078		2002-10-08	Engstrom, et al.	
	6	6480860		2002-11-12	Monday	
	7	6484196		2002-11-19	Maurille	
	8	6669564		2003-12-30	Young, et al.	
If you wisl	h to ac	dd additional U.S. Paten	t citatio	n information pl	ease click the Add button.	Add

Application Number		10814202
Filing Date		2004-03-31
First Named Inventor	Danie	II, Todd W.
Art Unit		2162
Examiner Name Truon		ng, Cam Y T
Attorney Docket Number		190206-1805

			U.S.P	ATENT	APPLIC	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ition	of cited Document				Lines where ges or Relev	
	1	20030229670		2003-12	<u>?</u> -11	Beyda, William	ı J.				
	2	20040054737		2004-03	3-18	Daniell, W. Too	dd				
	3	20040128356		2004-07	'-01	Bernstein, Keit	h; etal.				
	4	20040193722		2004-09	9-30	Donovan, Kevi Joseph Bartho					
	5	20040267887		2004-12	2-30	Berger, Kelly D	).; et al.				
If you wisl	n to ac	ı dd additional U.S. Publi	⊥ shed Ap	plication	citation	ı n information p	lease click the Ado	d buttor	. Add		
				FOREIG	SN PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patented Applicant of cited Document	e or	where Rele	or Relevant	<b>T</b> 5
	1										
If you wisl	n to ac	। dd additional Foreign P	atent Do	cument	citation	information pl	ease click the Add	button	Add		
			NON	I-PATEN	NT LITE	RATURE DO	CUMENTS		Remove		
Examiner Initials*	Cite No	Include name of the a (book, magazine, jour publisher, city and/or	nal, seria	al, symp	osium,	catalog, etc), c					T5

Application Number		10814202		
Filing Date		2004-03-31		
First Named Inventor	Danie	II, Todd W.		
Art Unit		2162		
Examiner Name Truon		ng, Cam Y T		
Attorney Docket Number		190206-1805		

1	W3C; Extensible Markup Language (XML) 1.0 (Second Edition - W3C Recommendation; October 6, 2000; pages 1-59	
2	P. SAINT-ANDRE, ET AL., XMPP Instant Messaging - draft-ietf-xmp-im-12; pages 1-88, 6/4/2003	
3	M. DAY ET AL., A Model for Presence and Instant Messaging; Feb. 2000, pages 1- 17	
4	J. OIKARINEN, D., Internet Relay Chat Protocol, 5/1993, pages 1-65	
5	J.D. RAMSDELL, the MITRE Corporation, entitled: Simple Instant Messaging and Presence 1.3 Protocol, dated June 2001, pages 1-19	
6	Web address: www.jabber.org,for Jabber Software Foundation, Open Instant Messaging Powered by XMPP, pages 1-3; printed 4/23/2003	
7	W. TODD DANIELL, Final Office Action, mailed 9/7/07, filing date 12/19/02 serial no. 10/325,317 (NOTE: copy not provided as it is a part of PTO records)	
8	W. TODD DANIELL,Non Final Office Action, mailed 3/21/07, filing date 12/19/02 serial no. 10/325,317 (NOTE: copy not provided as it is a part of PTO records)	
9	W. TODD DANIELL, Final Office Action, mailed 10/6/06, filing date 12/19/02 serial no. 10/325,317 (NOTE: copy not provided as it is a part of PTO records)	
10	W. TODD DANIELL,Non Final Office Action, mailed 4/24/06, filing date 12/19/02 serial no. 10/325,317 (NOTE: copy not provided as it is a part of PTO records)	
11	W. TODD DANIELL, Final Office Action, mailed 8/27/07, filing date 12/19/02 serial no. 10/326,479 (NOTE: copy not provided as it is a part of PTO records)	

Application Number		10814202
Filing Date		2004-03-31
First Named Inventor	Danie	II, Todd W.
Art Unit		2162
Examiner Name	Truon	ıg, Cam Y T
Attorney Docket Number		190206-1805

12	W. TODD DANIELL, Non Final Office Action, mailed 3/6/07, filing date 12/19/02 serial no. 10/326,479 (NOTE: copy not provided as it is a part of PTO records)	
13	W. TODD DANIELL, Final Office Action, mailed 9/28/06, filing date 12/19/02 serial no. 10/326,479 (NOTE: copy not provided as it is a part of PTO records)	
14	W. TODD DANIELL, Non Final Office Action, mailed 4/19/06, filing date 12/19/02 serial no. 10/326,479 (NOTE: copy not provided as it is a part of PTO records)	
15	W. TODD DANIELL, Final Office Action, mailed 10/25/06, filing date 12/19/02 serial no. 10/325,268 (NOTE: copy not provided as it is a part of PTO records)	
16	W. TODD DANIELL, Non Final Office Action, mailed 4/21/06, filing date 12/19/02 serial no. 10/325,268 (NOTE: copy not provided as it is a part of PTO records)	
17	W. TODD DANIELL, Non Final Office Action, mailed 5/3/04, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	
18	W. TODD DANIELL, Final Office Action, mailed 11/30/04, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	
19	W. TODD DANIELL, Non Final Office Action, mailed 2/24/05, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	
20	W. TODD DANIELL, Final Office Action, mailed 8/25/05, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	
21	W. TODD DANIELL, Non Final Office Action, mailed 12/30/05, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	
22	W. TODD DANIELL, Final Office Action, mailed 7/5/06, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	

Application Number		10814202
Filing Date		2004-03-31
First Named Inventor	Danie	ill, Todd W.
Art Unit		2162
Examiner Name Truon		ng, Cam Y T
Attorney Docket Number		190206-1805

23	W. TODD DANIELL, Non Final Office Action, mailed 10/23/06, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	
24	W. TODD DANIELL, Non Final Office Action, mailed 6/15/07, filing date 4/17/06 serial no. 11/405,259 (NOTE: copy not provided as it is a part of PTO records)	
25	MALIK, DALE W., Non Final Office Action, mailed 9/24/07, filing date 10/14/03 serial no. 10/686,431 (NOTE: copy not provided as it is a part of PTO records)	
26	W. TODD DANIELL, Utility Application, filing date 12/19/02, serial no. 10/326,479 (NOTE: copy not provided as it is part of PTO records)	
27	W. TODD DANIELL, Utility Application, filing date 12/19/02, serial no. 10/325,268 (NOTE: copy not provided as it is part of PTO records)	
28	W. TODD DANIELL, Utility Application, filing date 12/19/02, serial no. 10/325,044 (NOTE: copy not provided as it is part of PTO records)	
29	W. TODD DANIELL, Utility Application, filing date 12/19/02, serial no. 10/325,317 (NOTE: copy not provided as it is part of PTO records)	
30	W. TODD DANIELL, Utility Application, filing date 4/16/06, serial no. 11/405,259 (NOTE: copy not provided as it is part of PTO records)	
31	DALE W. MALIK, Utility Application, filing date 10/14/03, serial no. 10/686,345 (NOTE: copy not provided as it is part of PTO records)	
32	DALE W. MALIK, Utility Application, filing date 10/14/03, serial no. 10/686,431 (NOTE: copy not provided as it is part of PTO records)	
33	W. TODD DANIELL, Final Office Action, mailed 3/28/07, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)	

Application Number		10814202
Filing Date		2004-03-31
First Named Inventor	Danie	II, Todd W.
Art Unit		2162
Examiner Name	Truon	ıg, Cam Y T
Attorney Docket Number		190206-1805

	34	W. TODD DANIELL, Non Final Office Action, mailed 9/4/07, filing date 12/19/02 serial no. 10/325,044 (NOTE: copy not provided as it is a part of PTO records)						
If you wish to add additional non-patent literature document citation information please click the Add button Add								
EXAMINER SIGNATURE								
Examiner	Examiner Signature			Date Considered				
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Standard ST <sup>4</sup> Kind of doo	See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.							

( Not for submission under 37 CFR 1.99)

Application Number		10814202	
Filing Date		2004-03-31	
First Named Inventor	Daniell, Todd W.		
Art Unit		2162	
Examiner Name	Truong, Cam Y T		
Attorney Docket Number		190206-1805	

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached certification statement.						
X	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.						
	None						
SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Signature		/afb/	Date (YYYY-MM-DD)	2007-11-20			
Name/Print		Anthony F. Bonner, Jr.	Registration Number	55,012			
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete including gathering, preparing and submitting the completed							

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

**CERTIFICATION STATEMENT** 

VA 22313-1450.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.